

## Physical interventions to interrupt or reduce the spread of respiratory viruses: systematic review

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doi: 10.1136/bmj.39393.510347.BE

### ABSTRACT

**Objective** To systematically review evidence for the effectiveness of physical interventions to interrupt or reduce the spread of respiratory viruses.

**Data extraction** Search strategy of the Cochrane Library, Medline, OldMedline, Embase, and CINAHL, without language restriction, for any intervention to prevent transmission of respiratory viruses (isolation, quarantine, social distancing, barriers, personal protection, and hygiene). Study designs were randomised trials, cohort studies, case-control studies, and controlled before and after studies.

**Data synthesis** Of 2300 titles scanned 138 full papers were retrieved, including 49 papers of 51 studies. Study quality was poor for the three randomised controlled trials and most of the cluster randomised controlled trials; the observational studies were of mixed quality.

Heterogeneity precluded meta-analysis of most data except that from six case-control studies. The highest quality cluster randomised trials suggest that the spread of respiratory viruses into the community can be prevented by intervening with hygienic measures aimed at younger children. Meta-analysis of six case-control studies suggests that physical measures are highly effective in preventing the spread of SARS: handwashing more than 10 times daily (odds ratio 0.45, 95% confidence interval 0.36 to 0.57; number needed to treat=4, 95% confidence interval 3.65 to 5.52); wearing masks (0.32, 0.25 to 0.40; NNT=6, 4.54 to 8.03); wearing N95 masks (0.09, 0.03 to 0.30; NNT=3, 2.37 to 4.06); wearing gloves (0.43, 0.29 to 0.65; NNT=5, 4.15 to 15.41); wearing gowns (0.23, 0.14 to 0.37; NNT=5, 3.37 to 7.12); and handwashing, masks, gloves, and gowns combined (0.09, 0.02 to 0.35; NNT=3, 2.66 to 4.97). The incremental effect of adding virucidals or antiseptics to normal handwashing to decrease the spread of respiratory disease remains uncertain. The lack of proper evaluation of global measures such as screening at entry ports and social distancing prevent firm conclusions being drawn.

**Conclusion** Routine long term implementation of some physical measures to interrupt or reduce the spread of respiratory viruses might be difficult but many simple and low cost interventions could be useful in reducing the spread.

### INTRODUCTION

Although respiratory viruses usually cause minor disease, epidemics can occur. Mathematical models estimate that about 36 000 deaths and 226 000 admissions to hospital in the United States annually are attributable to influenza,<sup>1</sup> and with incidence rates as high as 50% during major epidemics worldwide, respiratory viruses strain health services,<sup>2</sup> are responsible for excess deaths,<sup>2,3</sup> and result in massive indirect costs owing to absenteeism from work and school.<sup>4</sup> Concern is now increasing about serious pandemic viral infections. In 2003 an epidemic of the previously unknown severe acute respiratory syndrome (SARS) caused by a coronavirus affected about 8000 people worldwide, with 780 deaths (disproportionately high numbers were in healthcare workers), and causing a social and economic crisis, especially in Asia.<sup>5</sup> A new avian influenza pandemic caused by the H5N1 virus strain threatens greater catastrophe.<sup>6</sup>

High viral load and high viral infectiousness probably drive virus pandemics,<sup>7</sup> hence the need for interventions to reduce viral load. Mounting evidence suggests, however, that single measures, particularly the use of vaccines or antivirals, will be insufficient to interrupt the spread of influenza. Agent specific drugs are also not available for other viruses.<sup>7-10</sup>

A recent trial found handwashing to be effective in lowering the incidence of pneumonia in the developing world.<sup>w1</sup> Clear evidence has also shown a link between personal (and environmental) hygiene and infection.<sup>11</sup> We systematically reviewed the evidence for the effectiveness of combined public health measures such as personal hygiene, distancing, and barriers to interrupt or reduce the spread of respiratory viruses.<sup>12,13</sup> We did not include vaccines and antivirals because these have been reviewed.<sup>4,10,14-18</sup>

### METHODS

We considered trials (individual level, cluster randomised, or quasirandomised), observational studies (cohort and case-control), and any other comparative design in people of all ages provided some attempt had been made to control for confounding.

We included any intervention to prevent the transmission of respiratory viruses from animals to humans

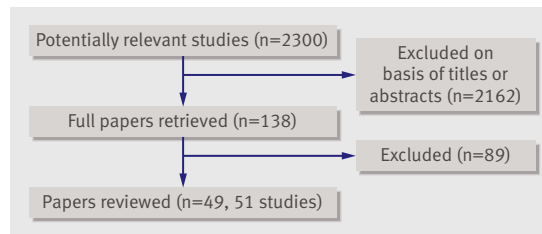


Fig 1 | Flow of papers through study

or from humans to humans (isolation, quarantine, social distancing, barriers, personal protection, and hygiene) compared with no intervention or with another intervention. We excluded vaccines and antivirals.

The outcome measures were deaths; numbers of cases of viral illness; severity of viral illness, or proxies for these; and other measures of burden, such as admissions to hospital.

#### Search strategy

We searched the Cochrane Central Register of Controlled Trials (*Cochrane Library* issue 4, 2006), Medline (1966 to November 2006), OldMedline (1950-65), Embase (1990 to November 2006), and CINAHL (1982 to November 2006). See [bmj.com](http://bmj.com) for details of our search terms for Medline and the Cochrane register (modified for OldMedline, Embase, and CINAHL). We applied no language restrictions. Study design filters included trials; cohort, case-control, and cross-over studies; and before and after and time series. We scanned the references of included studies to identify other potentially relevant studies.

We scanned the titles and abstracts of potentially relevant studies: when studies seemed to meet our eligibility criteria (or when information was insufficient to exclude them), we obtained the full text articles. We used a standardised form to assess the eligibility of each study, on the basis of the full article.

#### Quality assessment

We analysed randomised and non-randomised studies separately. Randomised studies were assessed according to the effectiveness of the randomisation method, the generation of the allocation sequence, allocation concealment, blinding, and follow-up. Non-randomised studies were assessed for the presence of potential confounders using the appropriate Newcastle-Ottawa Scales<sup>19</sup> for case-control and cohort studies, and a three point checklist was used for controlled before and after studies.<sup>20</sup>

Using quality at the analysis stage as a means of interpretation of the results we assigned risk of bias categories on the basis of the number of items judged inadequate in each study: low risk of bias, up to one inadequate item; medium risk of bias, up to three inadequate items; and high risk of bias, more than three inadequate items.

#### Data extraction

Two authors (TJ, CDM) independently applied inclusion criteria to all identified and retrieved articles. Four authors (TJ, EF, BH, AP) extracted data from included studies and checked their accuracy on standard field forms used by Cochrane groups for vaccines, supervised and arbitrated by CDM.

Aggregation of data depended on study design; types of comparisons; sensitivity; and homogeneity of definitions of exposure, populations, and outcomes used. We calculated the statistic  $I^2$  for each pooled estimate to assess the impact on heterogeneity.<sup>21 22</sup>

When possible we did a quantitative analysis and summarised effectiveness as an odds ratio with 95% confidence intervals, expressing absolute intervention effectiveness when significant as a percentage using the formula: intervention effectiveness = 1 - odds ratio. For studies that could not be pooled we used effect measures reported by the authors (such as relative risk or incidence rate ratio, with 95% confidence intervals or, when not available, relevant P values). We calculated numbers needed to treat (NNT) using the formula 1/absolute risk reduction whenever we thought the data were robust enough to allow it.

#### RESULTS

Overall, 2300 titles of reports of potentially relevant studies were identified and screened. In total, 2162 were excluded and 138 full papers retrieved, totalling 49 reports of 51 studies (fig 1).

The quality of the methods of included studies<sup>w1-w51</sup> varied (tables 1-5). Considerable loss of information resulted from incomplete or no reporting of randomisation,<sup>w3</sup> blinding,<sup>w5</sup> numerators and denominators,<sup>w4 w6</sup> interventions, outcomes,<sup>w39</sup> attrition of participants,<sup>w34</sup> confidence intervals,<sup>w33</sup> and cluster coefficients in the relevant trials.<sup>w4</sup> The impact of potential biases (such as cash incentives given to participants<sup>w39</sup>) were not discussed. Some authors confused the cohort design with a before and after design, which provided conclusions unsupported by the data.<sup>w34</sup> The quality of methods was sometimes eroded by the need to deliver behavioural interventions in the midst of service delivery.<sup>w37</sup> Even when suboptimal designs were selected, authors rarely articulated potential confounders. A common confounder specific to this area is the huge variability in viral incidence over time, commonly ignored.<sup>w19 w41</sup> Sometimes this was tackled in the study design,<sup>w30</sup> even in controlled before and after studies (one attempted correlation between admissions for respiratory syncytial virus and respiratory syncytial virus circulating in the community<sup>w21</sup>; another attempted linking exposure—measured as nasal excretion—and infection rate in the periods before and after intervention<sup>w14</sup>). Inadequate blinding or adjustment for confounders is a well known factor in exaggerating the effects of an intervention.<sup>23</sup>

Inappropriate interventions for comparison caused problems with study designs: in some studies these

Table 1 | Characteristics of included randomised trials

Study	Participants	Interventions v comparisons	Outcomes	Risk of bias (notes)
Randomised controlled trials:				
Gwaltney 1980 <sup>w2</sup>	15 and 20 participants in two experiments	Painting of hands with iodine v placebo (Ivory soap; Procter and Gamble, Cincinnati, OH), before experiment	Reduction in experimental rhinovirus infection (P=0.06)	High (poor description of randomisation process, concealment, and allocation)
Turner 2004 <sup>w3</sup>	85 participants; 122 participants	Use of salicylic acid v salicylic acid and pyroglutamic acid, and v "placebo substance"; use of skin cleanser wipe containing 4% pyroglutamic acid formulated with 0.1% benzalkonium chloride v skin cleanser wipe containing ethanol	Reduction in experimental rhinovirus infection (P<0.05); reduction in experimental rhinovirus infection (not significant)	High (no description of randomisation process, concealment, and allocation); high (no description of randomisation process, concealment, and allocation)
Cluster randomised trials:				
Carabin 1999 <sup>w4</sup>	1729 children aged 18-36 months	Training session (1 day) with washing hands, cleaning toys, opening windows, cleaning sandpits, and repeated requests to wash hands v standard practice	Reduced incidence of colds (incidence rate ratio 0.80, 95% CI 0.68 to 0.93)	High (no description of randomisation; partial reporting of outcomes, numerators, and denominators)
Farr 1988 <sup>w5</sup>	186 families; 98 families	Use of virucidal tissues (Kimberly-Clark, Neenah, WI) over 26 weeks v placebo tissues, and v no tissues (no placebo); use of virucidal tissues (Kimberly-Clark) v placebo tissues	Acute respiratory infections. Total illness rate was lower in families using virucidal tissues than in either of other two groups, but only overall difference between active and placebo groups was significant (illnesses per person 3.4 v 3.9 for placebo group P=0.04, and 3.6 for no tissues control group P=0.2, and overall 14% to 5% reduction); acute respiratory infections reduced incidence per person per week in household by 5% (not significant)	High (failure of blinding); high (failure of blinding)
Kotch 1994 <sup>w6</sup>	389 children aged ≤ 3 years in day care for at least 20 h/wk	Structured handwashing (disinfectant scrub Cal Stat donated by Calgon Vestal Laboratories, Merck) and disinfecting programme of environment (surfaces, sinks, toilets, and toys) with waterless liquid v standard practice	Acute respiratory infections (defined): No significant reduction (relative risk 0.94, 95% CI -2.43 to 0.66)	High (poor reporting of randomisation, outcomes, numerators, and denominators)
Sandora 2005 <sup>w7</sup>	292 families with children (6 months to 5 years) in child care (≥10 h/wk)	Alcohol based hand sanitiser (Purell Instant Hand Sanitizer; Gojo Industries, Akron, OH) with biweekly hand hygiene educational materials over five months v biweekly educational material on healthy diet	Acute respiratory infections. No significant reduction (relative risk 0.97, 95% CI 0.72 to 1.30)	Medium (relatively high attrition rate and confounder in respiratory droplet transmission route)
Ladegaard 1999 <sup>w8</sup>	0-6 year olds	Educational programme: message on T shirts "Clean hands—yes, thank you", performance of a fairytale "Princess who did not want to wash her hands," exercises in handwashing; and importance of clean and fresh air	34% decrease in "sickness" (probably mostly gastroenteritis)	Limited data only available
Longini 1988 <sup>w9</sup>	143 households randomised to virucidal tissues during season of high circulating influenza H3N2 virus and rhinoviruses	Disposable three layered virucidal tissues containing sodium lauryl sulphate sandwiched between citric and malic acids (Kimberly-Clark) v placebo (succinic acid in tissue sandwich)	Acute respiratory infections reduced from 18.7% to 11.8% (NS)	High (inappropriate choice of placebo)
Luby 2005 <sup>w1</sup>	Householders living in slums in Karachi	Instruction programme and antibacterial bar soap containing 1.2% triclocarban (Safeguard Bar Soap; Procter and Gamble, Cincinnati, OH) v ordinary soap to be used throughout the day by householders v usual behaviour	Incidence of pneumonia: relative risk between soap and usual behaviour 0.50 (95% CI 0.65 to 0.34) in children aged < 5 years	Low (cluster coefficients reported and analysis by unit of randomisation carried out)
Morton 2004 <sup>w10</sup>	253 school children, (ages not reported) from kindergarten to third grade	Alcohol gel plus handwashing (AlcoSCRUB; Erie Scientific, Portsmouth, NH) v handwashing alone	Absenteeism from school reduced by 43%	High (no description of randomisation; partial reporting of outcomes, numerators, and denominators)
Roberts 2000 <sup>w11</sup>	Children aged ≤3 years	Handwashing programme (GloGerm, Moab, UT) including nursery rhymes and count to 10 seconds when handwashing or rinsing	Acute respiratory infections (defined) reduced in children aged ≤24 months (relative risk 0.90, 95% CI 0.83 to 0.97) but not in older children (0.95, 0.89 to 1.01)	Low (cluster coefficients reported and analysis by unit of randomisation carried out)
White 2001 <sup>w12</sup>	769 5-12 year olds	Pump activated antiseptic hand rub with benzalkonium chloride (SAB formulation sanitiser; Woodward Laboratories) pump activated antiseptic hand rub plus water and soap handwash placebo	Acute respiratory infections (defined) relative risk for illness incidence 0.69, duration 0.71. Acute asthma. Gastrointestinal and other illnesses	High (no description of randomisation; partial reporting of outcomes, numerators, and denominators)

**Table 2 | Characteristics of included controlled before and after studies**

Study	Participants	Interventions	Outcomes	Risk of bias
Simon 2006 <sup>w13</sup>	Paediatric inpatients with diagnosis of respiratory syncytial virus admitted for at least 24 hours in Germany	Enhanced surveillance and feedback, rapid diagnosis, barriers and isolation, disinfection of surfaces	Nosocomial infection with respiratory syncytial virus decreased from 1.7 (year 1) to 0.2 per 1000 patient days (Year 3)	Low (reasonably reported study with incidence data presented by sex, age group, and birth weight to minimise bias)
Leclair 1987 <sup>w14</sup>	695 children aged 5 days to 4 years and 11 months	Infection control intervention to increase use of gloves and gowns	Nosocomial infection with respiratory syncytial virus reduced by relative risk of 3 (95% CI 1.5 to 5.7)	Low (although prone to selection bias, study was better designed than some of its peers as attempt was made at adjusting for different levels of respiratory syncytial virus circulation by subanalysis of virus shedding days in infected participants)
Macartney 2000 <sup>w15</sup>	1604 children in four seasons before and 2065 children after intervention seasons (aged about 1 year) with community acquired respiratory syncytial virus infection: inpatient children exposed to infected children, Philadelphia, USA	Education, high index of suspicion for case finding, barriers (not goggles or masks), and handwashing for patients and staff in contact with infected patients; two weeks' isolation when possible: cohorting patients (assigning them to wards) and staff according to risk or symptoms, with enhanced surveillance and restriction of visits, and discouraging staff with acute respiratory infections from working unprotected	Infection with respiratory syncytial virus reduced (relative risk 0.61, 95% CI 0.53 to 0.69)	Medium (study well reported and conclusions reasonable, but no information given on background rate of infection and impact of intervention on morbidity in healthcare workers not analysed)
Gala 1986 <sup>w16</sup>	74 children and 40 staff in before phase; 77 children and 41 staff in after phase	Use of disposable plastic eye-nose goggle and procedures for control of respiratory infections v procedures for control of respiratory infections alone (cohorting, isolation, and handwashing)	Infection with respiratory syncytial virus reduced from 42% (before) to 6% (after)	High (heavy play of confounders, missed opportunity for randomisation)
Hall 1981 <sup>w17</sup>	31 volunteers caring for children with respiratory syncytial virus in hospital	Exposure to infants admitted with acute respiratory infection during community outbreak of respiratory syncytial virus	Rates of respiratory syncytial virus infection: 5/7 children cuddled, 4/10 children touched, and 0/14 kept away from their carers	Low (results are of low generalisability)
Hall 1981 <sup>w18</sup>	162 inpatients with suspected respiratory syncytial virus infections from infants	Additional use of gowns and masks v standard infection control procedures (handwashing, isolation of affected cohorts)	Rates of respiratory syncytial virus infection increased from 32% to 41%	High (poor reporting)
Heymann 2004 <sup>w19</sup>	186 094 children aged 6-12 years in Israel	Effect of school closure coinciding with "influenza" outbreak	Decreases in acute respiratory infections (42%), visits to doctor and emergency room (28%), and purchase of drugs (35%)	High (observed effect may result from school closure or possibly lower circulation of viruses)
Snydman 1988 <sup>w20</sup>	Healthcare workers and patients in special care baby unit	Active surveillance: gown, mask, and gloves used on contact; restricted visiting policy; and isolation of cohorts of cases, suspected cases, and staff	Rate of respiratory syncytial virus infection decreased from 8 (confirmed) cases to 0 cases per 1000 patient days	High (no denominators provided and exposure generically quantified by aggregate patient days of exposure. Unclear how circulation of respiratory syncytial virus outside related to claimed success of measures, as no information provided)
Krasinski 1990 <sup>w21</sup>	All in-hospital paediatric patients regarded as potentially infected with respiratory syncytial virus	Isolation of screening cohort for respiratory syncytial virus and service education programme v normal care	Respiratory syncytial virus infections to other children reduced from 5 to 3 infections per 1000 patient days	Medium (attempt at correlation between admissions with respiratory syncytial virus and circulation of virus in community)
Krilov 1996 <sup>w22</sup>	33 children with Down's syndrome (ages 6 weeks to 5 years) in special needs day care centre with staff-child ratio >5:1	Training (reinforced by intensive monitoring of classroom behaviour), handwashing programme, and disinfectants on school buses, appliances, and toys	Decreased mean episodes per child per month: acute respiratory infection 0.7 to 0.4 (P<0.07), visits to doctor 0.5 to 0.3 (P<0.05), antibiotic courses 0.33 to 0.28 (P<0.05), days missed from school per study period from infection 0.8 to 0.4 (P<0.05)	High (disinfectants provided, and study sponsored, by manufacturer)
Pang 2003 <sup>w23</sup>	2521 probable cases of SARS, mostly people admitted to hospital in Beijing, China	Management training and provision of gowns, gloves, and masks; and screening of port of entry	SARS public health measures (barriers, quarantine, screening, contact tracing); only 12 cases identified out of 13 000 000 screened	Low (efforts made to minimise impact of confounding)
Pelke 1994 <sup>w24</sup>	230 infants, aged 22-42 weeks, of birth weight 464-6195 g	Additional use of gowns plus standard procedures (handwashing) v handwashing alone	No decrease in rates of respiratory syncytial virus infection, other infections, or death (1.2 v 1.4 deaths/100 patient days)	Medium (17% loss to follow-up)
Ryan 2001 <sup>w25</sup>	136 225 naval recruits (mainly men, aged 19-20 years) undergoing training over three years compared with about 30 000 recruits for phase II of study	Structured "top-down", military ordered programme of handwashing (>4 times daily) v no programme of handwashing (that is, standard practice)	Three stratified samples of recruits: decreased self reported episodes of acute respiratory infections (4.7 v 3.2 per recruit, odds ratio 1.5, 95% CI 1.2 to 1.8) and fewer admissions to hospital (odds ratio 0.09, 95% CI 0.63 to 0.006)	Low (attempt at correlating effects in intervention cohort with viral circulation in non-intervention population on same military base)



**Table 3 | Characteristics of included prospective cohort studies**

Study	Participants	Interventions v comparisons	Outcomes	Risk of bias (notes)
Agah 1987 <sup>w26</sup>	168 healthcare workers caring for children aged < 5 years with differential diagnosis of respiratory syncytial virus infection	Mask and goggles (sometimes gowns) v normal care	Respiratory syncytial virus illness symptoms reduced from 61% (controls) to 5% (intervention)	Low (reasonably reported despite difficulties of carrying out study; standard procedures such as handwashing should not have acted as confounder given 100% coverage among healthcare workers)
Derrick 2005 <sup>w27</sup>	Six volunteers in experimental laboratory setting	Pleated rectangular three ply surgical masks worn singly up to five thick, subject to range of neck and head movements	Poor filtration of particles through masks	High (report too brief to allow assessment)
Dick 1986 <sup>w28</sup>	Eight men with laboratory induced cold using R16 virus (donors) and 12 antibody free men (recipients)	Use of virucidal paper handkerchiefs (Kleenex Mansize tissues; Kimberly-Clark, Neenah, WI), containing citric acid and other virucidal ingredients to stop spread of R16 virus v normal cotton handkerchiefs	0% transmission of R16 virus in intervention groups compared with 42% of controls developing colds	Low (small, well designed and controlled study)
Dyer 2000 <sup>w29</sup>	420 children aged 5-12 years in private school in California; cluster open label crossover cohort study over 10 weeks	Educational programme, surfactant, allontoin and benzal konium chloride spray hand sanitiser (CleanHands), and use of soap and water at will for handwashing v normal care	Absenteeism reduced by 41.9%; respiratory illnesses by 49.7%	Medium (authors described limitations of study as limited socioeconomic diversity in study population, limitation to single study site, and lack of blinding. Further washing using soap and water was not monitored. Generalisability of results questionable as participants underwent educational programme)
Falsey 1999 <sup>w30</sup>	Three adult day care centres with 97 staff and 204 elderly people	Addition of virucidal hand foam as supplement (Alcare Plus; Calgon Vestal Laboratories, St Louis, MO) v normal handwashing and educational programme	Rates of respiratory infection fell from 14.5 to 10.4 per 100 person months to 5.7 (P<0.001) in last four years, with accompanying decline in viral isolates (influenza, respiratory syncytial virus, coronavirus, parainfluenza virus, rhinovirus)	Low (one of few identified studies reporting circulating viruses in day care setting, in both staff and patients. Decline in flu-like illness episodes across four study years reflected in decline in viral isolates, suggesting that aspecific measures such as handwashing are effective against main respiratory viruses)
Kimel 1996 <sup>w31</sup>	199 children of kindergarten and first grade (primary) schools	Handwashing and educational programme v no intervention	Absenteeism as a result of acute respiratory infections was about double that in control arm (P=0.01)	Medium (study did not control for health and hygiene practices at home or exposure to flu-like illness outside school. In addition student population was generally healthy, probably because families were able to provide adequate health and hygiene resources. Flu season was later than usual (February), therefore a confounder. Surveys of teachers indicated problems with handwashing facilities)
Leung 2004 <sup>w32</sup>	26 healthcare workers caring for probable or suspected people with SARS in Hong Kong	Triage and isolation for ultra high risk of SARS and strict infection control procedures v similar triage and isolation but less strict infection control procedures	No healthcare workers infected with SARS	Low (well done and clearly reported study in midst of major outbreak with previously unknown agent. Prince of Wales Hospital had previously experienced an outbreak in which index patient had infected 138 healthcare workers)
Madge 1992 <sup>w33</sup>	Four paediatric wards in one hospital; children had differential diagnosis of respiratory syncytial virus	Gowns, gloves, and isolated nursing of cohorts of suspected cases v normal care	Nosocomial infection with respiratory syncytial virus reduced (odds ratios reduced to between 0.76 and 0.013 of the baseline)	Low (possible "ward effect" not accounted for as confounder in study design. For practical reasons two wards continued with same policy over first two years of study. Possibility that another ward had been effective at implementing the assigned policy)
Makris 2000 <sup>w34</sup>	Eight private, freestanding, long term care facilities in USA	Infection control education programme reinforcing handwashing and other hygienic measures v normal care	Reported "reduced number of organisms present on hands and surfaces, and ARIs"; however, data showed incidence rate of 4.15 per 1000 patient days in test homes v 3.15 per 1000 patient days in control homes	High (internal inconsistencies)
Master 1997 <sup>w35</sup>	305 healthy, predominantly upper middle class children aged 5-12 years	Handwashing programme v usual practice	Acute respiratory infections: no reduction of absenteeism (relative risk 0.79, P>0.75)	High (discrete population without socioeconomically diverse backgrounds, single institution, lack of blind assessment, low specificity of symptoms, lack of accurate symptom definition)
Murphy 1981 <sup>w36</sup>	58 health workers caring for infants with respiratory infections	Handwashing, masks, and gowns (28 health workers) v handwashing only (n=30)	Viral infections (including respiratory syncytial virus) not reduced (5 in intervention arm v 4 in controls, P>0.20)	Medium (small study with potential confounders: heavy exposure of adults to respiratory viral illness in community; poor compliance with study protocol, modes of virus spread not able to be blocked by masks or gowns)
Niffenegger 1997 <sup>w37</sup>	Eight teachers and 26 children (aged 3-5 years) in test group	Three weekly cycles of teaching handwashing routine; encouragement for children, parents, and staff; and correct procedure for sneezing and coughing v unclear comparator	During first 11 weeks of study, test centre had double the incidence of colds compared with that of the control centre (19.4% v 12.7%, P<0.05)	High (wide range of infection incidence and unclear comparator)
Somogyi 2004 <sup>w38</sup>	One participant	Three masks; two without air filter and allowing external exhalation, one with manifold and air filter	Plumes of droplets observed and photographed: masks poor at preventing droplet spread	Low (small but simple, safe, and effective study)
White 2003 <sup>w39</sup>	188 university students in communal residences	Education programme and alcohol gel hand sanitiser (Purell; Gojo Industries, Akron, OH) adjunct to handwashing in residence halls v standard hygiene	Acute respiratory infection reduced by 14.8% to 39.9%, and absenteeism from lectures reduced by 40%	Medium (unexplained attrition and unknown effect of cash incentives; relatively unclear definition of illness with hint of sensitivity analysis in footnote to table)

outcome<sup>w9</sup>; in two studies blinding may have failed because placebo handkerchiefs were impregnated with a dummy compound that stung the users' nostrils.<sup>w5</sup>

Some interventions were tested under impractical and unrealistic situations: participants allocated to the intervention hand cleaner (organic acids) were not allowed to use their hands between cleaning and challenge with virus, so the effect of normal use of the hands on the intervention remains unknown,<sup>w3</sup> and 2% aqueous iodine is a successful antiviral intervention when painted on the hands but it stains and is impractical for all but the highest risk of epidemic contagion.<sup>w51</sup>

Compliance with interventions—especially educational programmes—was problematic for several studies, despite the importance of many such low cost interventions.

The most impressive effects came from high quality cluster randomised trials in preventing the spread of respiratory virus into the community using hygienic measures aimed at younger children. One study reported a significant decrease in respiratory illness in children up to age 24 months (relative risk 0.90, 95% confidence interval 0.83 to 0.97), although the decrease was not significant in older children (0.95, 0.89 to 1.01).<sup>w11</sup> Another study reported a 50% (95% confidence interval 65% to 34%) lower incidence of pneumonia in children aged less than 5 years in a developing country.<sup>w1</sup> Additional benefit from reduced transmission to other household members is broadly supported by the results of other study designs although the potential for confounding is greater.

Six case-control studies assessed the impact of public health measures to curb the spread of the SARS epidemic in China, Singapore, and Vietnam in 2003. Homogeneity of case definition, agent, settings, and outcomes made meta-analysis possible, using a fixed effects model because no comparisons showed

significant heterogeneity (fig 2 and table 6). Only binary data were pooled despite the availability of continuous data because the variables differed or were measured in different units, and standard deviations were usually missing. The data suggest that implementing barriers to transmission, isolation, and hygienic measures are effective and relatively cheap interventions to contain epidemics of respiratory viruses, such as SARS, with estimates of effect ranging from 55% to 91%: washing hands more than 10 times daily (odds ratio 0.45, 95% confidence interval 0.36 to 0.57, NNT=4, 95% confidence interval 3.65 to 5.52); wearing masks (0.32, 0.25 to 0.40, NNT=6, 4.54 to 8.03); wearing N95 masks (0.09, 0.03 to 0.30, NNT=3, 2.37 to 4.06); wearing gloves (0.43, 0.29 to 0.65, NNT=5, 4.15 to 15.41); wearing gowns (0.23, 0.14 to 0.37, NNT=5, 3.37 to 7.12); and handwashing, masks, gloves, and gowns combined (0.09, 0.02 to 0.35, NNT=3, 2.66 to 4.97). All studies selected hospital cases, except one<sup>w45</sup> in which the cases were people with probable SARS reported to the Department of Health in the territory of Hong Kong up to 16 May 2003. Evidence was limited for the superior effectiveness of barrier devices to droplets such as the N95 masks (respirators with 95% filtration capability against non-oily particulate aerosols<sup>w48</sup>) over simple surgical masks. An incremental effect was found for decreased burden of respiratory disease by adding virucidals or antiseptics to normal handwashing in atypical settings, but the extra benefit may have been, at least partly, from confounding additional routines.

Studies on interventions to prevent the transmission of respiratory syncytial virus and similar viruses in more typical settings suggested good effectiveness, although doubt was cast on the findings because of method quality inherent in controlled before and after studies, especially different virus infection rates.

**Table 4 | Characteristics of included retrospective cohort studies**

Study	Participants	Interventions v comparisons	Outcomes	Risk of bias (notes)
Doherty 1998 <sup>w40</sup>	Children aged <2 years with differential diagnosis of respiratory syncytial virus infection	Diagnosis of respiratory syncytial virus infection and cohorting v normal care	"RSV infection reduced" (but data did not support conclusion)	High (poor descriptions)
Isaacs 1991 <sup>w41</sup>	Children aged <2 years with differential diagnosis of respiratory syncytial virus infection	Isolation and handwashing with alcohol based hand rubs (Amphisept 80; GoldsCHmid) v normal care	Respiratory syncytial virus infection reduced by "up to 60%"	High (poor descriptions)
Ou 2003 <sup>w42 w43</sup>	171 cases of SARS and 1210 people quarantined from selected districts in China	Quarantine at home or hospital for 14 days after exposure: comparisons between reductions of incidence (95% CIs) of SARS for carers 31% (20% to 44%), visitors 9% (3% to 22%), and cohabiting contacts 5% (2% to 9%)	SARS attack rates reduced for all groups except non-cohabitants living in same building; carers of cases during incubation period (quarantine therefore not necessary)	High (non-random basis for sample, selection bias of sample and responders, recall bias of responders, and absence of laboratory confirmed diagnosis may have affected conclusion. Overall, insufficient denominator data, or data on non-exposed people, precluded data extraction or calculation of odds ratios)
Yen 2006 <sup>w44</sup>	One intervention military hospital (459 healthcare workers) and 86 control hospitals in Taiwan	Integrated infection control strategy: triage and barrier traffic flow into hospital, zoning of risk, negative pressure areas of isolation, personal hygiene, and barrier interventions v normal isolation procedures	Only two healthcare workers infected with SARS, compared with 50 probable cases and 43 suspected cases in control hospitals	High (sketchily reported study with missing denominators and data on exposure to SARS. Not clear how intervention differed from high risk isolation procedures)

**Table 5 | Characteristics of included case-control studies**

Study	Participants	Interventions v comparisons	Outcomes	Risk of bias (notes)
Lau 2004 <sup>w45</sup>	330 probable cases of SARS reported to Department of Health, Hong Kong	Natural exposure to SARS during serious epidemic	Community transmission of SARS reduced (odds ratio 0.30, 95% CI 0.23 to 0.39)	Medium (inconsistencies in text: controls not described)
Nishiura 2005 <sup>w46</sup>	29 survivors of laboratory confirmed SARS; cases admitted to hospital and retained or transferred	Handwashing before contact with patient infected with SARS; handwashing after contact with infected patient; masks; gloves; gowns; all measures combined	Masks (odds ratio 0.3, 95% CI 0.1 to 0.7) and gowns (0.2, 0.0 to 0.8) were significantly associated with protection from SARS during phase 1 trials but in phase 2 trials masks (0.1, 0.0 to 0.3) and all measures (0.1, 0.0 to 0.3) were associated with protection probably because of increased awareness of danger of outbreak and increased use of measures	Low (well written and reported study)
Seto 2003 <sup>w47</sup>	13 healthcare workers infected with confirmed SARS within 2-7 days of exposure, with no community exposure	Handwashing, masks, gloves, and gowns	Handwashing, masks, and gowns (odds ratio 5, 95% CI 1 to 19) were effective, but only masks (13, 3 to 60) were significant using logistic regression, possibly through lack of power	Medium (inconsistencies in text: lack of description of controls)
Teleman 2004 <sup>w48</sup>	36 healthcare workers caring for patients with probable or suspected SARS	Distance from source of infection <1 m, duration of exposure ≥60 minutes, wearing N95 mask, wearing gloves, wearing gown, touched patients, touched patients' personal belongings, contact with respiratory secretions, did venepuncture, carried out or assisted in intubation, carried out suction of body fluids, gave oxygen; washed hands after contact with each patient	Three factors were associated with significant risks or protection against SARS: wearing N95 mask (odds ratio 0.1, 95% CI 0.02 to 0.86), contact with respiratory secretions (21.8, 1.7 to 274.8), and handwashing after contact with each patient (0.07, 0.008 to 0.66)	Low (well written and reported study)
Wu 2004 <sup>w49</sup>	94 patients with probable or suspected SARS admitted to hospital	Always wearing a mask, intermittently wearing a mask, washing hands after returning home, owning a pet, visited farmers' market, visited clinics, eaten out, or used taxis	Always wearing a mask was strongly protective (70% reduction in risk, odds ratio 0.3, 95% CI 0.2 to 0.7) and wearing one intermittently (0.5, 0.2 to 0.9) or always washing hands after returning home (0.3, 0.2 to 0.7) showed smaller significant reductions in risk. Of great interest was role of fever clinics in spreading the disease, probably because of poorly implemented isolation and triage procedures (13.4, 3.8 to 46.7), having eaten out (2.3, 1.2 to 4.5), or used taxis more than once a week (3.2, 1.3 to 8.0)	Medium (inconsistencies in text: controls not described)
Yin 2004 <sup>w50</sup>	77 healthcare workers caring for patients with probable or suspected SARS	Mouth masks, thick mouth masks (>12 layers of cloths), one-off paper mouth mask, wearing eye mask when indicated, protection for mucosa of nose and eyes, shoes, gloves, barrier gown, gloves, rinsing out mouth, bathing, fresh clothes before going home, checking mouth mask, taking oseltamivir orally, avoiding eating or smoking in ward, hand washing and disinfection, nose clamps, taking herbal Banlangen (Indigowood Root) orally	Single measures such as wearing masks (odds ratio 0.78, 95% CI 0.60 to 0.99), goggles (0.20, 0.10 to 0.41), and footwear (0.58, 0.39 to 0.86) were effective against SARS	Medium (inconsistencies in text: controls not described)

Few studies reported on resource consumption for the physical intervention evaluated. One case-control study<sup>w45</sup> concluded that handwashing needs to be carried out more than 10 times daily to be effective. One study,<sup>w25</sup> in a military training setting, reported a need to wash hands more than four times daily. During one month of the respiratory syncytical virus "season" on a ward containing 22 cribs, one study reported that 5350 gowns and 4850 masks were used.<sup>w18</sup>

Proper evaluation of global and highly resource intensive measures such as screening at entry ports and social distancing was lacking. The handful of studies (mostly done during the SARS epidemic) did not allow firm conclusions to be drawn.

## DISCUSSION

In this systematic review we found that physical barriers such as handwashing, wearing a mask, and

**Table 6 | Pooled estimates of effect of public health interventions to interrupt transmission of SARS from case-control studies**

Intervention	No of studies (references)	Odds ratio (95% CI)	Intervention effectiveness* (%)	Number needed to treat (95% CI)†
Frequent handwashing (>10 times daily)	6 (w48, w45-w47, w49, w50)	0.45 (0.36 to 0.57)	55	4.00 (3.65 to 5.52)
Wearing mask	5 (w45-w47, w49, w50)	0.32 (0.25 to 0.40)	68	6.00 (4.54 to 8.03)
Wearing N95 mask	2 (w45, w47)	0.09 (0.03 to 0.30)	91	3.00 (2.37 to 4.06)
Wearing gloves	4 (w46, w47 w45, w50)	0.43 (0.29 to 0.65)	57	7.00 (4.15 to 15.41)
Wearing gown	4 (w45, w46, w47, w50)	0.23 (0.14 to 0.37)	77	5.00 (3.37 to 7.12)
Handwashing, mask, gloves, and gown combined	2 (w46, w47)	0.09 (0.02 to 0.35)	91	3.00 (2.66 to 4.97)

\*Odds ratio-1.

†Number needed to treat to prevent one case.

isolation of potentially infected patients were effective in preventing the spread of respiratory virus infections. It is not surprising that methods of the included studies were at risk of bias as these types of interventions are difficult to blind, are often set up hurriedly in emergency situations, and funding is less secure than for profit making interventions. Hasty design of interventions to minimise public health emergencies,

particularly the six included case-control studies, is understandable but not when no randomisation (not even of clusters) was done in the several unhurried cohort and before and after studies, despite randomisation leading to minimal disruption to service delivery. Inadequate reporting often made interpretation of before and after studies difficult.

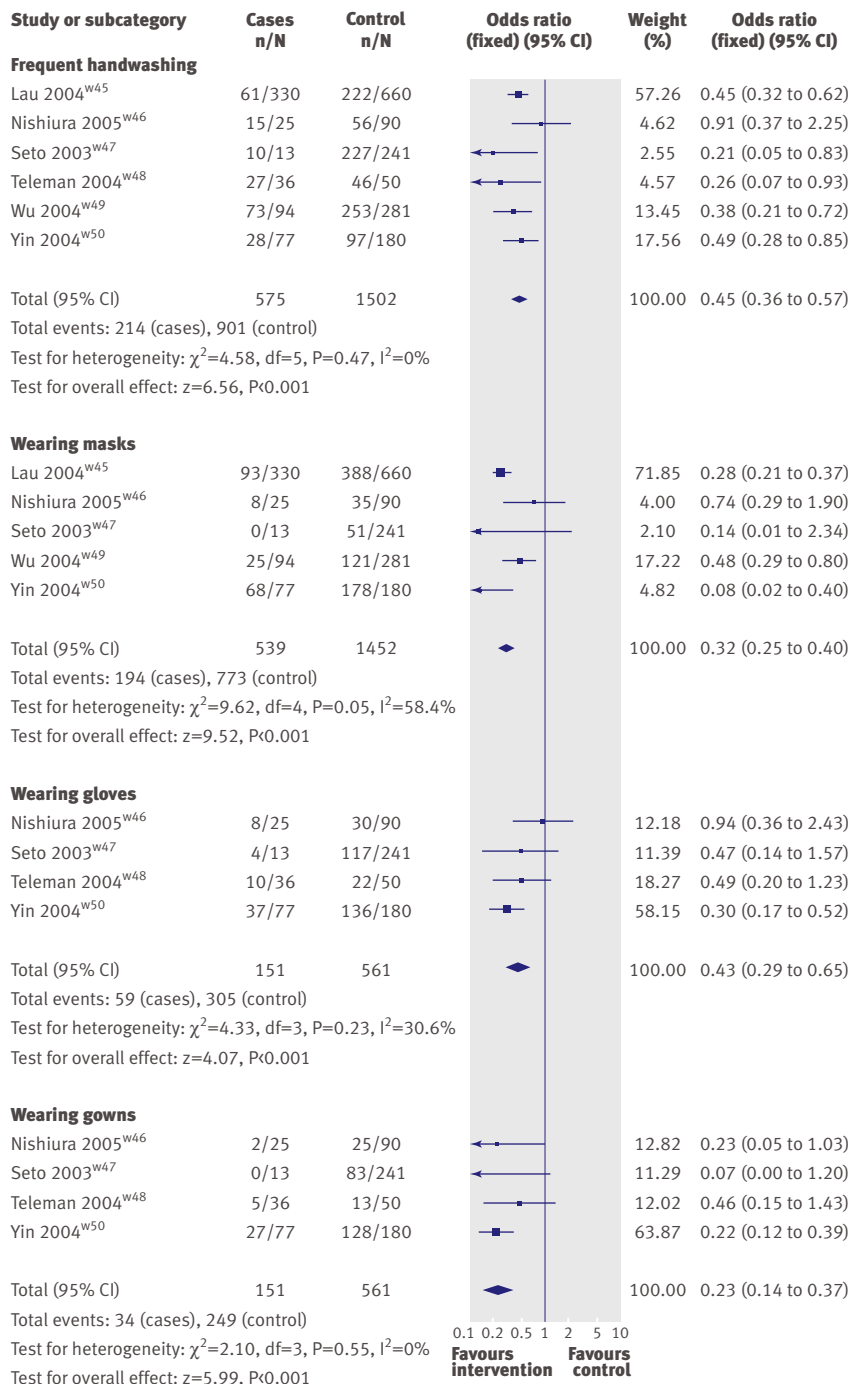
The settings of the studies, carried out over four decades, were heterogeneous, ranging from suburban schools<sup>w4 w37 w29</sup> to military barracks,<sup>w25</sup> intensive care units, paediatric wards<sup>w14 w16</sup> in industrialised countries, slums in developing countries,<sup>w1</sup> and day care centres for children with special needs.<sup>w22</sup> Few attempts were made to obtain socioeconomic diversity by, for example, involving several schools in the evaluations of one programme.<sup>w29</sup> We identified few studies from developing countries where the most burden lies and where cheap interventions are needed. Even in Israel, the decrease in acute respiratory tract infections subsequent to school closure may have been related to atypical features: the high proportion of children in the population (34%) and limited access to over the counter drugs, which together with the national universal comprehensive health insurance means that symptomatic treatment is generally prescribed by doctors.<sup>w19</sup>

Compliance with interventions—especially educational programmes—was a problem for several studies, despite the importance of such low cost interventions. Routine long term implementation of some would be problematic—particularly maintaining strict hygiene and barrier routines for long periods, probably only feasible in highly motivated environments such as hospitals without the threat of an epidemic.

Global and highly resource intensive measures such as screening at entry ports and social distancing lacked proper evaluation. The handful of studies (mostly done during the SARS epidemic) did not allow us to reach any firm conclusions, although a recent analysis of historical and archival data from the 1918-9 influenza pandemic in the United States suggests an effect of social distancing measures such as school closures and bans on public gatherings.<sup>24</sup>

Nevertheless our systematic review of available research does provide some important insights. Perhaps the impressive effect of the hygienic measures aimed at younger children derives from their poor capability with personal hygiene.<sup>w1 w11</sup>

Simple public health measures seem to be highly effective at reducing the transmission of respiratory viruses, especially when they are part of a structured programme including instruction and education and when they are delivered together. Further large pragmatic trials are needed to evaluate the best combinations. In the meantime we recommend implementing the following interventions combined to reduce the transmission of respiratory viruses: frequent handwashing (with or without antiseptics), barrier measures (gloves, gowns, and masks), and isolation of people with suspected respiratory tract infections.



**Fig 2 | Evidence from case-control studies on effect of frequent handwashing or wearing of masks, gloves, or gowns on prevention of severe respiratory syndrome (SARS)**



**WHAT IS ALREADY KNOWN ON THIS TOPIC**

People are increasingly concerned about pandemics of virus infections such as avian influenza and SARS

Preparation against pandemics includes developing vaccines and stockpiling antiviral agents—interventions that are virus specific and of unknown effectiveness in epidemic disease

**WHAT THIS STUDY ADDS**

Several physical barriers, especially handwashing, masks, and isolation of potentially infected people, were effective in preventing the spread of respiratory virus infections

Such interventions should be better evaluated and given higher priority in preparation for pandemics

We thank Peter Doshi, Anne Lyddiatt, Stephanie Kondos, Tom Sandora, Kathryn Glass, Max Bulsara, and Allen Cheng for commenting on the draft protocol; Jørgen Lous for translating a Danish paper and extracting data; Taixiang Wu for translating Chinese text; and Ryuki Kassai for translating Japanese text.

**Contributors:** RF and AR constructed the search strategy. TJ, CDM, and LD drafted the protocol. LD, CDM, and RF incorporated the referees' comments. TJ, FE, BH, and AP extracted study data. SN carried out the analyses. TJ and CDM wrote the final report and are the guarantors for the paper. All authors contributed to the final paper.

**Funding:** Cochrane Collaboration Steering Group, UK, and each author's institution.

**Competing interests:** None declared.

**Ethical approval:** Not required.

**Provenance and peer review:** Not commissioned; externally peer reviewed.

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**Accepted:** 23 October 2007